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DOCKET NO.: E0295.70155US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. Blumenau et al.
Serial No.: 09/748,053
Confirmation No.: 4482
Filed: December 22, 2000
For: METHOD AND APPARATUS FOR PREVENTING UNAUTHORIZED
ACCESS BY A NETWORK DEVICE
Examiner: Ho, Thomas M.
Art Unit: 2134

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 13th day of March, 2007.

Patricia L. Marchetti
Patricia L. Marchetti

MAIL STOP AMENDMENT

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Information Disclosure Statement, Form PTO-1449 and References Cited
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

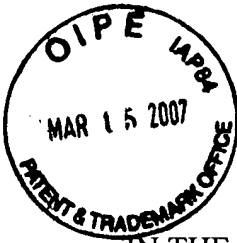
A check in the amount of \$180.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
Steven M. Blumenau et al., Applicant

By:

[Signature]
Richard F. Giunta, Reg. No.: 36,149
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2206
Telephone: (617) 646-8000

Docket No.: E0295.70155US00
Date: March 13, 2007
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**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application. 03/15/2007 HBLANC0 00000009 09748053 01 10.1800 180.00 OP

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>	<u>Atty. Docket No.</u>
09/107,618	06/30/1998	Blumenau et al.	E0295.70066US00
09/108,075	06/30/1998	Blumenau	E0295.70067US00

The above-referenced applications were previously made of record in an IDS filed on April 16, 2001. The references cited in the attached PTO form 1449 are of record in those applications. The Examiner is invited to review the file histories of the above-referenced applications to see whether there is any information that the Examiner believes may be relevant, including ways in which any of these references may have been applied or interpreted. In this respect, it is assumed that the Examiner has access to each of those files. However, if the Examiner would like any information about any of those pending applications, Applicants would be happy to provide it.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).


By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Steven M. Blumenau et al., Applicant

By:


Richard F. Giunta, Reg. No. 36,149
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2206
Telephone: (617) 646-8000

Docket No.: E0295.70155US00
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FORM PTO-1449/A and B (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

APPLICATION NO.: 09/748,053

ATTY. DOCKET NO.: E0295.70155US00

FILING DATE: December 22, 2000

CONFIRMATION NO.: 4482

APPLICANT: Steven M. Blumenau et al.

GROUP ART UNIT: 2134

EXAMINER: Ho, Thomas M.

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	A1	4,652,990	B1	Pailen et al.	03-24-1987
	A2	5,598,470	B1	Cooper et al.	01-28-1997
	A3	5,727,146	B1	Savoldi et al.	03-10-1998
	A4	5,852,715	B1	Raz et al.	12-22-1998
	A5	5,867,686	B1	Conner et al.	02-02-1999
	A6	5,889,962	B1	Hanif et al.	03-30-1999
	A7	5,941,947	B1	Brown et al.	08-24-1999
	A8	5,959,994	B1	Boggs et al.	09-28-1999
	A9	6,026,293	B1	Osborn	02-15-2000
	A10	6,061,794	B1	Angelo et al.	05-09-2000
	A11	6,075,860	B1	Ketcham	06-13-2000
	A12	6,101,497	B1	Ofek	08-08-2000
	A13	6,209,002	B1	Gagne et al.	03-27-2001
	A14	6,219,771	B1	Kikuchi et al.	04-17-2001
	A15	6,370,626	B1	Gagne et al.	04-09-2002
	A16	6,401,178	B1	Gagne et al.	06-04-2002
	A17	2002/0083339	A1	Blumenau et al.	06-27-2002
	A18	6,542,974	B1	Gagne et al.	04-01-2003
	A19	6,581,143	B1	Gagne et al.	06-17-2003
	A20	6,631,477	B1	LeCrone et al.	10-07-2003
	A21	6,687,718	B1	Gagne et al.	02-03-2004
	A22	6,718,352	B1	Dang et al.	04-06-2004
	A23	6,754,682	B1	LeCrone et al.	06-22-2004

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 09/748,053		ATTY. DOCKET NO.: E0295.70155US00		
				FILING DATE: December 22, 2000		CONFIRMATION NO.: 4482		
				APPLICANT: Steven M. Blumenau et al.				
				GROUP ART UNIT: 2134		EXAMINER: Ho, Thomas M.		
Sheet	2	of	2					

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	B1	JP	1-106245	A	Nishikawa	04-00-1989	
	B2	JP	2001014261	A	Saegusa	01-00-2000	Abstract
	B3	JP	63-301336	A	Oda	12-11-1988	
	B4	JP	8-84140	A		03-26-1996	Abstract
	B5	JP	10-333839	A		12-18-1998	
	B6	JP	11-73391	A		03-16-1999	Abstract
	B7	EP	0 456 920	A2	IBM	11-21-1991	
	B8	WO	02/08870	A2	Dickenson	01-31-2002	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	C1	"Data Protection at the Volume Level", IBM TDB NN8810146, October 1988, v31, iss. 5, pp. 146-148	

EXAMINER:	DATE CONSIDERED:
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]